# Comparison of ssGBLUP and ssGTBLUP using Nordic Holstein TD data 

M. Koivula ${ }^{1}$, I. Strandén ${ }^{1}$, G. P. Aamand ${ }^{2}$ \& E. A. Mäntysaari ${ }^{1}$<br>${ }^{1}$ Natural Resources Institute Finland (Luke), FI-31600 Jokioinen, Finland<br>${ }^{2}$ NAV Nordic Cattle Genetic Evaluation, 8200 Aarhus N, Denmark

Minna.Koivula@luke.fi


#### Abstract

Summary The aim of this study is to compare the efficiency of the two different ssGBLUP and two ssGTBLUP approaches in the analysis of Nordic Holstein (HOL) test-day (TD) data, using the official Nordic Holstein TD model. Based on the results, ssGTBLUP had similar convergence properties as the original ssGBLUP. Generally, the solutions from the two different ssGBLUP approaches and the ssGTBLUP approaches were the same. Correlations of GEBVs varied from 0.977 to 1.000 . In conclusion, there were only minor differences among different studied single-step approaches in GEBVs or trends or standard deviations. The computational differences become more important as the number of genotyped animals still increase. Then, ssGTBLUP with or without eigendecomposition approach seems to offer a computationally reasonable approach for solving genomic breeding values using the singlestep method.


Keywords: ssGBLUP, genomic evaluation, single-step, Holstein

## Introduction

Meuwissen et al. (2001) introduced the concept of genome-wide marker-assisted selection, after which many alternative methods have been developed to put genomic selection into practice. Currently, genomic selection has been in wide use already for several years. Singlestep genomic BLUP (ssGBLUP) is the preferred method for genomic evaluations (Aguilar et al., 2010; Christensen \& Lund, 2010) since it is a unified approach to calculate GEBVs. The unified relationship matrix $\mathbf{H}$ used in ssGBLUP defines the relationships among genotyped and non-genotyped animals. The single-step approach as such is computationally demanding with a large number of genotyped animals. Several alternative ways to overcome some of the computational challenges have been presented. Legarra \& Ducrocq (2012) presented singlestep mixed-model equations (MME) where it is possible to avoid inversion of $\mathbf{G}$, or even making it. These were implemented in Fernando et al. (2016). Other alternative formulations account for the genomic information through marker effects (e.g. Liu et al., 2014; Fernando et al., 2014; Taskinen et al., 2017). Misztal et al. (2014) and Fragomeni et al. (2015) suggested a sparse approximation of the inverse of the G matrix with so-called APY (Algorithm for proven and young). Mäntysaari et al. (2017) proposed an exact approach named ssGTBLUP where neither the G matrix nor its inverse are needed. The ssGTBLUP has the same convergence properties in preconditioned conjugate gradient (PCG) iteration as the original single-step MME but is computationally less demanding.

The aim of this study is to compare the efficiency of the original ssGBLUP and ssGTBLUP approach with Nordic Holstein (HOL) test-day (TD) data, using the official Nordic Holstein TD model.

## Material and methods

All analyses used the same data as are used in the official Nordic HOL milk production evaluations. The multiple trait milk production evaluation includes TD records from milk, fat and protein production. Production records from the first three lactations are in the same multiple traits model. The full routine evaluation data from September 2016 for the HOL were obtained from the Nordic Cattle Genetic Evaluation (NAV). For the production traits, the TD data included 7.6 million cows with a total of 153 million records and 9.8 million animals in the pedigree. Genotype data included 101004 genotyped HOL animals of which 55658 were bulls, including also Eurogenomics bulls, and 45346 were cows and heifers. After application of exclusion criteria, 46342 SNP markers on the 29 bovine autosomes were available for further analysis.

## Solving single-step evaluations

Following Mäntysaari et al. (2017) the genomic relationship matrix was $\mathbf{G}=\mathbf{Z Z}$ ' $+\mathbf{C}$ where $\mathbf{Z}$ is a centered and scaled genotyped matrix by the method I of VanRaden (2008), and the singularity prevention matrix $\mathbf{C}$ was varied. The centering used the estimated base population allele frequencies, which were calculated as described in McPeek et al. (2004). Single-step genomic evaluations were performed by four different approaches. In the first approach, the G matrix was formed and inverted using LAPACK (Anderson et al., 1999) subroutines available in the MKL library (Intel Math Kernel Library Reference Manual, 2014). The singularity prevention was done by adding a diagonal matrix $\mathbf{C}=\mathbf{I} \varepsilon$ where a small number $\varepsilon$ was 0.01 (later called as ssGBLUP ${ }_{0.01}$ ). In the second approach, the $\mathbf{G}$ matrix was formed similarly as in the filist approach but $10 \%$ of the polygenic variance was included into the $\mathbf{G}$ to overcome singularity (called ssGBLUP ${ }_{\text {w } 10}$ ). In the third approach, the $\mathbf{G}^{-1}$ was replaced by $\mathbf{C}^{-1}-\mathbf{T} \mathbf{T}$, where the matrix was calculated using $\mathbf{C}=\mathbf{I} \varepsilon$, and neither $\mathbf{G}$ nor its inverse was explicitly formed (ssGTBLUP). In the fourth approach, we used eigendecomposition to reduce rank of the $\mathbf{T}$ matrix which reduced the number of multiplications during the PCG. The percentage of total variance explained by the eigenvalues was $98 \%$ (method called hereinafter ssGTBLUP $(98)$ ).

All models were solved using MiX99 software (Strandén \& Lidauer, 1999) which uses PCG iteration in solving the MME. The main computational cost in the PCG method is a matrix times a vector product where within each iteration round a so-called direction vector is multiplied by the coefficient matrix. Additional genomic covariance structure was read in from a disk file in each iteration round. The inverse of the $\mathbf{A}_{22}$ matrix was not computed in advance, but instead, the method by Strandén et al. (2017) was implemented. There the submatrices $\mathbf{A}^{12}$ and $\mathrm{A}^{22}$ of $\mathbf{A}^{-1}$ are formed implicitly using pedigree information. This saves considerably memory and computing time.

## Results and Discussion

Based on the results, ssGTBLUP had similar convergence properties as the original ssGBLUP (Table 1). The original ssGBLUP with $10 \%$ of polygenic variance needed the most preprocessing time and memory because the $\mathbf{A}_{22}$ matrix had to be formed and inverted when building the external genomic matrix for the MiX99. For the $\operatorname{ssGTBLUP}_{(98)}$, the eigendecomposition is more time-consuming than inverting a matrix of the same size. However, the eigendecomposition was needed for a smaller matrix of size 46 342, i.e.,
number of markers, whereas the inversion in the original ssGBLUP was for the $\mathbf{G}$ inverse matrix of size 101004 . For the rank reduced ssGTBLUP ${ }_{(98)}$, the number of rows in the T matrix was further reduced from 46342 to 14038 .

In general, the solutions from the two different ssGBLUP approaches and the two ssGTBLUP approaches were the same. Correlations of GEBVs varied from 0.977 to 1.000 for reference bulls, so-called transition bulls having less than 100 daughters, and for young candidate bulls without offspring (Table 2). The correlation between GEBVs from the ssGTBLUP $_{\text {w10 }}$ and ssGBLUP ${ }_{0.01}$ was high in all bulls, thus it appears that addition of a small number to the diagonal of the genomic relationship matrix works as well in preventing the singularity of the matrix, but also gives similar GEBVs. As assumed, the correlation between $\operatorname{ssGBLUP}_{0.01}$ and ssGTBLUP approaches was one, and the rank reduction employed in ssGTBLUP $_{(98)}$ did not much affect the results.

The genetic trend of protein for the genotyped Nordic Holstein bulls is presented in Figure 1. The figure shows that different single-step approaches do not affect the trends. However, more important is to see what happens to the standard deviations. Thus, Figure 2 presents SDs of the protein GEBVs for bulls. For the reference bulls or transition bulls, there were no differences among the single-step approaches, but for the young bulls, the SDs from the $\mathrm{ssGBLUP}_{\mathrm{w} 10}$ had some differences to the other methods.

In conclusion, it seems that there were not much differences among the studied singlestep approaches in GEBVs or trends or standard deviations. The computational differences become more important as the number of genotyped animals increases. Then, ssGTBLUP with or without eigendecomposition approach seems to offer a computationally reasonable approach for solving genomic breeding values using the single-step method.

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Table 1. Computing times and peak memory needed for the original single-step genomic model with $10 \%$ of polygenic variance (ssGBLUP $P_{w 10}$ ), the single-step using addition of 0.01 to G-diagonal (ssGBLUP $P_{0.01}$ ), single-step using the $\boldsymbol{T}$ matrix approach (ssGTBLUP), or using eigendecomposition with rank reduction in ssGTBLUP(98) with $98 \%$ of variance explained. Peak memory needed in gigabytes in the $\boldsymbol{G} / \boldsymbol{T}$ matrix building. Wall clock time in hours for the preprocessing (Pw) and time per 1000 iterations in hours (I), size of external matrix read by the solver in gigabytes (Matrix), number of iterations ( $N$ ). In preprocessing, 10 processors were used when making the required matrices for ssGBLUP and ssGTBLUP.

| Method | Peak <br> memory <br> $(\mathrm{GB})$ | Pw <br> (hour) | Matrix <br> $(\mathrm{GB})$ | I <br> $(\mathrm{h} / 1000$ <br> iterations) | N <br> (Number) |
| :--- | :---: | :---: | :---: | :---: | :---: |
| ssGBLUP $_{\mathrm{w} 10}$ | 152.9 | 5.3 | 20 | 28 | 4202 |
| ssGBLUP $_{0.01}$ | 114.7 | 2.2 | 20 | 25 | 4891 |
| ssGTBLUP $^{\text {ssGTBLUP }}(98)$ | 51.8 | 1.5 | 18 | 25 | 4881 |
| ssGT $^{26.6}$ | 4 | 5.3 | 18 | 5576 |  |

Table 2. Correlations among GEBVs of genotyped Nordic Holstein bulls from single-step genomic models presented separately for reference bulls, "transition bulls" with less than 100 daughters and for young bulls without daughters. The original single-step with $10 \%$ of the polygenic variance ( $s s G B L U P_{w 10}$ ), the single-step using the addition of 0.01 to diagonal (ssGBLUP ${ }_{0.01}$ ), single-step using the $\boldsymbol{T}$ matrix approach (ssGTBLUP), eigendecomposition with a rank reduction in ssGTBLUP ${ }_{(98)}$ with $98 \%$ of variance explained.

| Bull | Method | ssGBLUP $_{0.01}$ | ssGTBLUP | ssGTBLUP $_{(98)}$ |
| :--- | :--- | :---: | :---: | :---: |
| Reference bulls | ssGBLUP $_{\mathrm{w} 10}$ | 0.999 | 0.999 | 0.998 |
|  | ssGBLUP $_{0.01}$ |  | 1.000 | 0.999 |
|  | ssGTBLUP $^{*}$ |  |  | 0.999 |
| Transition bulls | ssGBLUP $_{\mathrm{w} 10}$ | 0.998 | 0.998 | 0.996 |
|  | ssGBLUP $_{0.01}$ |  | 1.000 | 0.999 |
|  | ssGTBLUP $^{*}$ Young bulls | ssGBLUP $_{\mathrm{w} 10}$ | 0.980 | 0.980 |
|  | ssGBLUP $_{0.01}$ |  | 0.999 |  |
|  | ssGTBLUP $^{2}$ |  | 0.977 |  |
|  |  |  | 0.998 |  |



Figure 1. Genetic trends by birth year for protein from different single-step approaches. Single-step genomic model with $10 \%$ of polygenic variance (ssGBLUP ${ }_{w 10}$ ), the single-step using addition of 0.01 to diagonal (ssGBLUP ${ }_{0.01}$ ), single-step using the $\boldsymbol{T}$ matrix approach (ssGTBLUP), eigendecomposition with rank reduction in $s s G T B L U P_{(98)}$ with $98 \%$ of variance explained.


Figure 2. The standard deviation of protein GEBVs by birth year from different single-step approaches. Single-step genomic model with $10 \%$ of polygenic variance (ssGBLUP $P_{w 10}$ ), the single-step using addition of 0.01 to diagonal (ssGBLUP ${ }_{0.01}$ ), single-step using the $\boldsymbol{T}$ matrix approach (ssGTBLUP), eigendecomposition with rank reduction in ssGTBLUP ${ }_{(98)}$ with $98 \%$ of variance explained. Solid lines for reference bulls, dashed lines for "transition bulls" with less than 100 daughters and dotted lines for young bulls without daughters.

