# Validation of genomic and genetic evaluations in 305d production traits of Nordic Holstein cattle

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#### Abstract

As genomic selection has been used already for several years, it has become evident that the validation of genomic evaluations relying on traditional animal models is becoming unsuitable. The GEBV validation test recommended by Interbull is cross-validation based on the forward prediction. It was designed at the time when the multi-step genomic evaluation was the standard method. The aim of this study was to take a closer look on accuracy and stability of (G)EBVs. Validations for GEBVs were done using yield deviations (YD) or daughter yield deviations (DYD) calculated with single-step GBLUP instead of EBV model. Moreover, we studied the stability of (G)EBV estimations in consecutive evaluations. We used Nordic Holstein 305 days production data containing ca. 7.3 million cows with 15.6 million observations. Genotypes were for 30056 animals which had either records or offspring in the full 305d data. The test setup consisted of four data sets: the full data, called data<sub>0</sub>, included calvings up to March 2016. Three reduced data sets were data-1, data-2, and data-3, from which one year of calvings was deleted at a time. This allowed studying the accuracy of predictions by production years, and also the stability of (G)EBV estimates across lactations. The bull validation was a regression of DYD<sub>EBV</sub> on PA<sub>data-3</sub> or, for GEBV<sub>data-3</sub>, regression of DYD<sub>GEBV</sub> on GEBV<sub>data-3</sub>. The results suggested that after use of genomic selection the DYD from EBV model become biased and that GEBVs validated using DYDs from the BLUP model might receive too low reliability. The validation reliability for protein GEBV (r<sup>2</sup>) was 0.34 using DYD from EBV model and 0.36 using DYD from ssGBLUP. Similarly, when making cow validations, it might be advisable to use YDs calculated from ssGBLUP for the validation. The r2 in GEBV validations using YD from ssGBLUP were on average 5 % units higher compared to validations using YDs from the EBV model.

Key words: validation, genomic selection, genomic evaluation, Holstein

## Introduction

Since Meuwissen (2001) introduced the concept of genome-wide marker-assisted selection (GWMAS), also known as genomic selection, many alternative methods have been developed to put genomic selection into practice. Currently, genomic selection has been in wide use already several years.

The official Interbull validation test of EBV and GEBV is a cross-validation test that uses two data sets; full and truncated, and daughter yield deviations (DYD) or deregressed proofs (DRP) from the full data are regressed to EBV or GEBV (Mäntysaari *et al.* 2010) from the truncated set.

The validation test was designed at the time when the multi-step genomic evaluation was the standard method. Now it has become clear that GEBV validation test is generally poorly suited for testing genomic animal model, the single-step GBLUP (ssGBLUP). Validation bulls are by definition young, and should not have daughters. However, genotyped daughters might be an essential part of the genomic reference population.

In most of the modern breeding programs, young bulls are heavily selected using GEBVs. This reduces the correlation between the estimated and the true breeding value, and thereafter the bull based validation reliability  $R^2$  starts to decrease. In case the cow genotyping are more random, the validation

reliability estimated using cow GEBVs and cow yield deviations (YD) should better reflect the true accuracy of genomic evaluations. Also, after years of genomic selection, the EBV model accuracy starts to deteriorate and we cannot trust the DYDs used in the validation. Because of that, the regression coefficient  $b_1$  and validation  $R^2$  are estimated still lower. One solution would be to start to use DYD from the ssGBLUP.

The aim of this study was to take a closer look on reliability and stability of (G)EBVs. We also tested the usability of genotyped cows for validation. Moreover, we wanted to study the stability of (G)EBV estimations in consecutive evaluations

### **Materials and Methods**

#### Data

We compiled Nordic Holstein 305 days production data from the test day data used in official Nordic TD evaluations. The full data included calvings up to March 2016. It included ca. 7.3 million cows with 15.6 million observations. For the study, three reduced data sets were created by removing one year of calvings at the time. Finally, we had four data sets: the full data called data0, and three reduced data sets, data1 including calvings up to March 2015, data2 including calvings up to March 2014, and data3 including calvings up to March 2013. For the validation, we calculated YDs and DYDs both from the animal and ssGBLUP models.

The marker data included genotypes for 30056 Nordic Holstein animals which had either own 305 days records or offspring in the full 305d data. The standard G-matrix, including 10% of the polygenic variance (Christensen and Lund 2010) was built for the genomic model. The genomic relationship matrix used the estimated base population allele frequencies, calculated as described by McPeek *et al.* (2004).

The unified relationship matrix H used in ssGBLUP defines the relationships among genotyped and non-genotyped animals. Usually, when the model includes genetic groups, only pedigree-based relationship

matrix is augmented to include phantom parent groups (PPG). However, contributions to PPG due to genomic relationships can be similarly accounted (Mistzal *et al.* 2013). Therefore, QT transformation was conducted to take into account PPG also in the G matrix (Matilainen *et al.* 2016).

#### Analysis

The evaluation model was a simple multi-trait (three lactations) model for protein only. The variance components for the model were derived from the variance components of official TD model\_(do we need a reference here?). The model was

 $y_{ijklm} = age_i + sea*yr_j + hy_k + add_l + e_{ijklm}$ 

where y<sub>ijklm</sub> is the 305 d protein record; age<sub>i</sub> is the fixed effect of the calving age; sea\*yri is the fixed effect of the calving-year-season interaction; hyk is the fixed effect of the herdcalving year interaction; and is the random additive genetic animal effect and ehijklm is the random residual effect. The number of test day records/10 was used as a weight in the model. Both traditional animal model EBVs and genomic animal model GEBVs were calculated using the same model. In addition, inbreeding coefficients were accounted in the computation of A-1 in all models. For all the results presented the validated trait was a combined 305d protein yield with weights 0.5 for the first lactation (G)EBV, 0.3 for the second lactation (G)EBV and 0.2 for the third lactation (G)EBV.

Alternative validation procedures were tested for the bulls and cows. The bull validation was a regression of DYD from the full data (data<sub>0</sub>) EBV model (DYD<sub>EBV</sub>) on PA<sub>data-3</sub> or for GEBV<sub>data-3</sub> from the reduced data with three years of data reduction, or alternatively regression of DYD from the ssGBLUP (DYD<sub>GEBV</sub>) on GEBV<sub>data-3</sub>. For the cows, it was possible to do the validations yearly. Validation was done by regressing YD<sub>EBV</sub> or YD<sub>GEBV</sub> derived from the data set in which the validation cow received her first lactation record to her PA or GEBV from earlier data, without own records. The validation R<sup>2</sup> was obtained by dividing the regression model coefficient of determination by the reliability of YD in describing the combined protein yield breeding value.

The stability of evaluations was tested with a simple linear model. The difference between consecutive evaluations was explained by calving-year, parity, siretype and all their interactions. The aim was to test if the changes were bigger in daughters of young genomic tested bulls than in daughters of old proven bulls.

## **Results & Discussion**

The genetic trends for bulls having at least 50 daughters in the full 305d data are shown in Figure 1. The genetic improvement due to genomic selection should not be observable before 2010, but presumably in conventional evaluation the bulls born 2008 already have started to suffer from the genomically selected younger bulls. In the year 2011, the difference between EBV and GEBV was 40% of the sire standard deviation. In cows, the difference between EBV and GEBV in genetic trend is small and starts to be visible after the year 2012 (Figure 2).

The model validation results for the bulls are in Table 1, and for the genotyped cows in Table 2. The tables present regression coefficients (b<sub>1</sub>) and validation reliabilities (R<sup>2</sup>). For the bulls, validation reliabilities from the validation using animal model DYD were 0.14 for PA and 0.36 for GEBV. When ssGBLUP DYD were used in validation, the validation reliability increased to 0.39. Similarly, in cows, the results of GEBV validation increased when the validation was based on a regression on YD from the ssGBLUP. In general, the validation results for genotyped cows were considerably higher than for the bulls, the R2 was on average 0.58 for cows. Also, the regression coefficient was close to one, while in bulls b1 was clearly less Assuming that the problems in than one. generally validation test lead into underestimation of b<sub>1</sub> as well as R<sup>2</sup>, it seems advisable to validate GEBVs by using DYD or YD from the ssGBLUP model, instead of a current official method. Also, moving from bull validation to cow validation would lessen the pre-selection problem. In the Denmark/Finland/Sweden breeding program for Red Dairy

Cattle <u>and Jersey</u>, the genomic reference population is increased by systematically genotyping of cows. Although in Holsteins the genotyped cows are, to some extent, a selected group of animals, they still seem to give higher R<sup>2</sup> than the bulls. This might be because bulls are selected for phenotyping by GEBVs, while cows are selected for genotyping by their PA. One more positive point in cow validations is the possibility to do yearly validation tests by removing only one year of observations.

When the stability of evaluations was studied, all factors tested were significant because of a large number of observations. However, we did not find any clear patterns on the solutions, and there were no differences between animal model or ssGBLUP. Thus, measured as stability between consecutive evaluations, both methods are equally good.

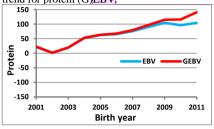
**Table 1.** Bull validation (Bulls=723) results. Regression coefficients (b<sub>1</sub>) and validation reliabilities (R<sup>2</sup>) from the parent average (PA) and GEBV. DYD<sub>EBV</sub> calculated from animal model DYD<sub>GEBV</sub> calculated from the ssGBLUP model

	PA		GEBV	
	$b_1$	$\mathbb{R}^2$	$b_1$	$\mathbb{R}^2$
DYD_EBV	0.67	0.14	0.75	0.36
DYD_GEBV			0.77	0.39

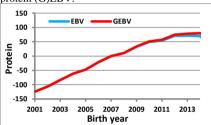
**Table 2.** Yearly cow validation results. Regression coefficients  $(b_1)$  and validation reliabilities  $(R^2)$  from the parent average (PA) and GEBV.  $YD_{EBV}$  calculated from animal model  $YD_{GEBV}$  calculated from the ssGBLUP model. The year is PA evaluation year.

Year	PA		GEBV	
YD_EBV	$b_1$	$\mathbb{R}^2$	$b_1$	$\mathbb{R}^2$
2012	1.35	0.38	1.14	0.59
2013	1.12	0.29	1.12	0.56
2014	1.25	0.29	1.15	0.56
YD_GEBV				
2012			1.16	0.62
2013			1.14	0.58
2014			1.18	0.59

**Figure 1.** Genetic trends for genotyped bulls with at least 50 daughters by birth year. The trend for protein (G)EBV



**Figure 2.** Genetic trends for cows with first lactation record by birth year. The trend for protein (G)EBV.



### Conclusions

Use of DYDs from the animal model run will give lower validation reliability (0.36) than using DYD from ssGBLUP (0.39). The same was trend observed in cow validations. Overall bull validations gave considerably lower regression coefficients and validation reliabilities compared to cow validations. Thus, it would be beneficial to use cow validations instead of bull validations. Or meanwhile, use DYDs from the ssGBLUP in GEBV validation.

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**Kommentoinut [gap1]:** I miss just one sentence in the discussion telling that the ss might also be biased upwards